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Practitioner's Docket No. 03045PCT

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nippon Shokubai Co., Ltd.

Serial No.: 10/549,243

Filed: September 12, 2005

For: Additive for optical resins, and optical resin composition

Examiner: Zimmer, Marc S.

Group No.: 1712

Confirmation No.: 2418

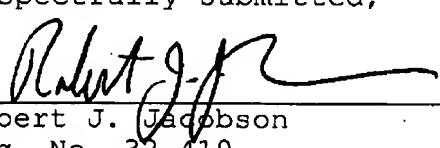
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## Certificate of Facsimile Transmission

I hereby certify that the following documents are being facsimile transmitted to the United States Patent and Trademark Office on August 18, 2007 to 1-571-273-8300 totaling 24 pages by applicant's attorney, Robert J. Jacobson:

1. This Certificate of Facsimile Transmission dated August 18, 2007 (one page);
2. Information Disclosure Statement dated August 18, 2007 (two pages);
3. PTO-1449 form (one sheet);
4. JPO Communication dated July 3, 2007 (Document a, two pages total); and
5. JP 2003-82045 and English abstract therefor (Document b, 18 pages total).

Respectfully submitted,

Date: 8-18-07  
\_\_\_\_\_  
Robert J. Jacobson  
Reg. No. 32,419Tel. No.: (651) 699-7900  
Fax. No.: (651) 699-7901650 Brimhall Street South  
St. Paul, MN 55116-1511

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**Information Disclosure Statement**

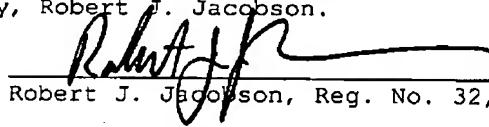
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed references is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

37 CFR 1.97(c) An information disclosure statement shall be considered by the Office if filed by the applicant after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action

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I hereby certify that this correspondence and the documents referred to as enclosed therein are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2007 to 1-571-273-8300 by applicant's attorney, Robert J. Jacobson.

  
\_\_\_\_\_  
Robert J. Jacobson, Reg. No. 32,419

(Information Disclosure Statement-page 1 of 2)

that otherwise closes prosecution in the application, and it is accompanied by one of: (1) The statement specified in paragraph (e) of this section; or (2) The fee set forth in § 1.17(p). This information disclosure statement is accompanied by said paragraph (e) below:

37 CFR 1.97 (e)(1) The undersigned hereby certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

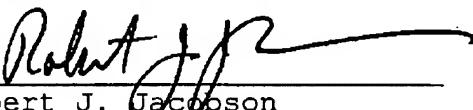
37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The following references are being submitted under this provision with an English abstract:

b. JP 2003-82045 A

For the information of The Patent Office, please find further enclosed a copy of a JPO Communication dated July 3, 2007 (document "a," two pages total) for the corresponding Japanese application. The JPO Communication cites the reference listed on the PTO-1449.

Respectfully submitted,

Date: 8-18-07

  
\_\_\_\_\_  
Robert J. Jacobson  
Reg. No. 32,419

Tel. No.: (651) 699-7900  
Fax. No.: (651) 699-7901

650 Brimhall Street South  
St. Paul, MN 55116-1511

(18924.DOC)

(Information Disclosure Statement-page 2 of 2)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006, OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/549,243
				Filing Date	09/12/2005
				First Named Inventor	Yoshikuni SASAKI
				Art Unit	1712
				Examiner Name	Zimmer, Marc S.
Sheet	one	of	one	Attorney Docket Number	03045PCT

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.